

Procedures for Reporting and Resolving Student Complaints

These procedures establish a process for reporting and resolving student complaints that are not specifically addressed by UNT Dallas policies. These procedures apply to registered students of the University. Additionally, any individual who was a registered student but who has graduated or otherwise left the University also may avail themselves of these procedures provided that any such complaint is made within three (3) months of the individual leaving the University and the acts or omissions being complained of occurred while the individual was a registered student.

These procedures do not replace any complaint or appeal procedures found in UNT Dallas policies. See the table on the last page of this document for a listing of published student policies and corresponding complaint and appeal procedures.

Guiding Principles

As a student-centered university, UNT Dallas strives to achieve high student satisfaction with its services and creating an environment where students are provided:

- Friendly customer service.
- Accurate, timely, and consistent information.
- Empowerment.

To this end, the following principles will guide these procedures:

- Wherever possible, student complaints will be resolved through an informal process and at the lowest appropriate level of management.
- Student complaints will be handled with procedural fairness. All parties to a complaint will be informed of the specific allegations being made and will be given the opportunity to respond to any allegations made.
- Student complaints will be handled in a timely and confidential manner.
- Students will be notified of the outcome of their complaint.
- The responsibility for the academic evaluation of students rests with the UNTD faculty.

Student Complaints

Informal Complaint Process

Students with a complaint should initially seek an informal resolution by raising the complaint directly with the relevant staff member and/or faculty member. In instances where the student believes it is not appropriate to raise the issue directly with the person, department, or program of concern, they may make an informal complaint to the supervisor or direct authority. Advice on how to proceed with a complaint can be sought from the Dean of Students.

Basic steps in the informal process include the following:

- Complaints will be made in a timely manner with the staff or faculty member with whom the issue originated.
- If the issue is not resolved, the unit head or the department chair will investigate the issue and allegations.
- Documentation of said complaint and the informal attempts to resolve the issue will be kept by the student.
- When an informal approach is inappropriate or ineffective, the student may follow the formal procedure detailed in the section below.
 - The formal procedure can also be followed if a resolution cannot be mutually agreed upon by all parties involved.

Formally Documented Complaints

If a resolution is not found through the informal process, students may submit written complaints to the Dean of Students via a form: <u>https://cm.maxient.com/reportingform.php?UNTDallas&layout_id=15</u>. The Dean of Students will then record the complaint in a log and notify the University official who is responsible for handling the complaint. The log will include all properly submitted complaints that are made formally in writing by students and will also include those complaints submitted through the various "suggestion/comments boxes" on campus.

It is expected that students making formal complaints will demonstrate that they have attempted to resolve their concerns through the informal procedures. The complaint must be submitted in writing and include all the information requested on the form, including, but not limited to:

- The name and contact information of the student filing the complaint, and a brief description of the complaint;
- Any attempt(s) by the student to resolve the matter informally, including name/position of employee whom the student contacted concerning the complaint, the date(s) of such contact (may be approximate dates), and the outcome of the informal complaint; and
- The desired resolution or outcome regarding the complaint.

Anonymous Complaints

Anonymous complaints typically are not handled under Student Complaint Procedures. Anonymous complaints about criminal activity, fraud, discrimination, or suspected wrongdoing should be referred to the appropriate unit or University/System official in accordance with the University's <u>Reporting</u>. <u>Suspected Wrongdoing Policy (4.012)</u>. Further action may be taken if the anonymous complaint contains sufficient information to carry out an investigation.

Log of Student Complaints

The Dean of Students is responsible for maintaining a log of all written student complaints properly submitted under these Student Complaint Procedures. Designated representatives are responsible for compiling written student complaints, resolving such complaints in accordance with applicable University policies and procedures, and forwarding all written student complaints (and the outcome of such complaints) to the Dean of Students on a quarterly basis (for staff) or at the end of each semester (for faculty/academic units).

The complaint log will include the following:

- The date the complaint was first formally submitted;
- The nature of the complaint;
- The steps taken by the University to resolve the complaint (in accordance with University policies and procedures, as applicable);
- The final decision regarding the complaint, including referral to outside agencies; and
- Any other external actions initiated by the student to resolve the complaint, if known to UNT Dallas (e.g. lawsuit, EEOC investigation, etc.).

UNT Dallas provides published appeal procedures for final course grades, academic misconduct, and other academic complaints. So that appropriate monitoring and evaluation of student complaints may take place, all student complaints will be included in the log, including student grade appeals.

Records Retention of Student Complaint Log

The log of student complaints maintained by the Dean of Students will be retained in accordance with the University's Records Retention Schedule.

Timeframe for Responding to Student Complaints

Employees have a responsibility to respond to student complaints within a reasonable timeframe and complainants will be advised of the proposed timeframe for resolution. If a complaint is complex or involves allegations of misconduct or suspected wrongdoing, the University will achieve resolution of a complaint within 10 days of the complaint being lodged with the appropriate person in authority. If it is not possible to achieve resolution within this timeframe, the complainant will be advised and will be informed of the progress of the matter.

Monitoring and Evaluation

Responsibility for the management and operation of the Student Complaints Procedure resides with the Dean of Students. The Dean of Students will oversee the tracking of complaints for the purposes of ensuring that written student complaints are resolved in accordance with University policies and procedures and demonstrate fairness and attention to student concerns. Complaints made under this procedure, as well as any student complaint made in accordance with University policies, will be monitored and reviewed to enable the University to continually improve its processes. To this end, the Associate Provost for Academic Affairs also will look for any pattern in the complaints that suggests problems with institutional effectiveness and/or quality of services and will report any potential problems to the appropriate Vice President.

Grade Appeals Policy 7.007

Faculty Responsible for Assignment of Grades. The responsibility for the evaluation of students rests with the UNTD faculty. The University presumes that the judgment of the faculty member in a course is authoritative, and that all assigned grades are correct.

Student Informal Consultation with Faculty Member . A student who wishes to complain about a final

course grade must first discuss the matter with the faculty member of record. The discussion with the faculty member must occur as soon as possible after assignment of the grade, and under no circumstances will a faculty member entertain a student's complaint any later than one week after the start of the academic term following the assignment of the grade.

The faculty member must inform a student of a decision within three (3) days of meeting with the student.

Formal Petition for Grade Appeal. If a student's concern remains unresolved after the informal consultation with the faculty member, the student may submit a written petition for appeal using the Grade Appeal form to the Program Coordinator (or Dean in the absence of a Program Coordinator) of the faculty member's department within (10) business days of the first class day of the following semester.

A student's formal petition for grade appeal to the Program Coordinator must include:

- The stated grounds for the final course grade appeal and specifically the basis for the claim that a final course grade was assigned arbitrarily, prejudicially or was in error.
- Any additional supporting information.

The student or the instructor has 10 business days from receipt of the program coordinator's decision to send a written appeal to the dean of the respective school/college. The dean will forward the appeal with any other documentation to the Student Academic Appeals Committee. In the case of the College of Law grade appeals, the dean will appoint a three-person ad hoc committee to act in place of the Student Academic Appeals Committee.

Appeal to the Student Academic Appeals Committee. The Student Academic Appeals Committee will review the appeal and may meet with the student and instructor separately. The Student Academic Appeals Committee will afford the student and instructor the same opportunities to provide additional information. The dean may, at times, act as a member of the Student Academic Appeals Committee, including participating in the review process and voting toward the decision.

- The Student Academic Appeals Committee will make a decision with a majority vote and will notify the dean of its decision within 30 days of receiving the appeal. The dean will notify the student and instructor of the Student Academic Appeals Committee's decision within three business days of receipt of the decision.
- All rulings made by the Student Academic Appeals Committee are final.
- All records related to the appeal will be filed with the program coordinator of the department in which the grade was originally signed and retained in accordance with the UNT Dallas record retention policy.

Confidentiality

Confidentiality will be respected wherever possible within the constraints of the need to fully investigate the complaint, and matters pertaining to the complaint will not be discussed beyond the parties to the complaint, their chosen representatives, where applicable, and staff or faculty members involved in resolving the complaint.

Published Student Policies and Procedures

The University has established formal mechanisms for addressing many student complaints through its University policies and procedures. These policies and procedures may be found via the <u>University</u>

<u>Policy Management website (https://untsystem.policytech.com/?public=true&siteid=10</u>) and supersede these Student Complaint Procedures. Any type of complaint received by the University will be handled in accordance with the applicable University policy. These policies include but are not limited to the following:

Type of Complaint	University Policies that Include Formal Procedures for Filing Student Complaints	Designated Representative
Complaints regarding discrimination or harassment of any type (including sexual harassment) made against a UNTD student, faculty member, staff member, or other member of the University community.	Refer to Policy 16.002: Prohibiting Discrimination and Harassment	Office of Equal Opportunity for UNT System (855)878-7650, Option 4
Complaints regarding a grade or grade- related penalty	Refer to Policy 7.007: Grade Appeals Policy	School of Liberal Arts & Sciences 972-338-1501 School of Behavioral Health & Human Services 972-338-1345 School of Business 972-780-3668 School of Education 972-338-1503
Complaints regarding the outcome of student conduct hearings	Refer to appeal procedures in Policy 7.001: Code of Student Rights and Responsibilities	Office of the Assistant Vice President of Student Affairs and Dean of Students (972) 338-1775
Complaints regarding the outcome of an investigation and review of academic dishonesty Complaints regarding the release of	Refer to appeal procedures in Policy 7.002:Code of Academic IntegrityRefer to Policy 7.003: Student Records	Provost (972) 338-1858 Office of the Registrar
student information or accuracy of student education record Complaints regarding a decision on the modification of academic requirements or other disability accommodation for students	Refer to Policy 16.004: Disability Accommodations for Students and Academic Units	(972) 780-3045 Director of Disability Services (972) 338-1777
Complaints regarding a decision by The CARE Team concerning an involuntary medical withdrawal	Refer to Policy 7.008: CARE Team Assessment and Involuntary Student Medical Withdrawal	Office of the Assistant Vice President of Student Affairs and Dean of Students

		(972) 338-1775
Complaints regarding decision to deny a request to use University facilities or any related charges for facility use	Refer to Policy 11.006: Facilities Use	Director of Facilities (972) 338-1470
Complaints regarding decision to deny a request for use of campus grounds for expressive activity	Refer to Policy 7.009: Free Speech and Public Assembly on Campus Grounds	Office of Student Affairs (972) 338-1775

Questions concerning these Student Complaint Procedures should be directed to the Assistant Vice President of Student Affairs and Dean of Students at (972) 338-1775.