Chief Executive Officer Report

TO: The University of North Texas Board of Regents
FROM: Bob Mong, Chief Executive Officer
DATE: September 30, 2021
RE: Chief Executive Officer Reporting Requirements under Texas Educational Code, § 51.253(c)

Under the Texas Education Code (TEC), § 51.253(c), the University of North Texas at Dallas (UNT Dallas) Chief Executive Officer is required to submit a data report at least once during each fall or spring semester to the UNT Board of Regents and post on the UNT Dallas website the following information concerning the reports received by employees under the TEC, § 51.252.

- Whether the type of incident described in each report constitutes:
  - “sexual harassment;”
  - “sexual assault;”
  - “dating violence;” or
  - “stalking” as defined in the TEC, § 51.251, and
- any disciplinary actions taken under TEC, § 51.255.

For the purposes of complying with the aforementioned reporting requirements under TEC, § 51.253(c), the attached summary data report¹ (Appendix A) includes all of the required information for the 2020-2021 academic year, as of September 30th, 2021. The summary data in Appendix A is categorized based on the reporting requirements under TEC, § 51.253(c). The reports received provide information that may apply to multiple reporting categories. Therefore, the summary data in the categories may not add up to the totals of other categories. The summary data report is also posted on the UNT Dallas (Name Page), per the public reporting requirements under TEC, § 51.253(c).

The summary data report is also posted on the UNT Dallas’ webpage, www.untdallas.edu/title-ix as per the public reporting requirements under TEC, Section 51.253(c).

Note: Any additional reports received by the Title IX Coordinator that do not meet the required reporting criteria in the TEC have been omitted for the compliance purposes of this specific report.²

¹ When identifiable, duplicate reports were consolidated and counted as one report in the summary data, and confidential employee reporting is noted as a sub-set to the total number of reports received.
² For example, reports made by students and all other non-employees (including incidents under 3.5(d)(3)) are excluded from Appendices A and B. Additionally, if a Title IX Coordinator or Deputy Coordinator determines that the type of incident described in a report, as alleged, does not constitute “sexual harassment,” “sexual assault,” “dating violence,” or “stalking” as defined in the TEC, § 51.251, the report is excluded from Appendices A and B. It is the responsibility of the Title IX Coordinator or Deputy Title IX Coordinator to assess each report received and determine whether it is properly included in this report, and if so, to correctly identify the type of incident.
Appendix A
Summary Data Report
2020-2021 Academic Year

<table>
<thead>
<tr>
<th>Texas Education Code, § 51.252</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Number of reports received under § 51.252</strong>³</td>
</tr>
<tr>
<td>Number of confidential reports⁴ under § 51.252</td>
</tr>
<tr>
<td><strong>Number of investigations conducted under § 51.252</strong></td>
</tr>
<tr>
<td>Disposition⁵ of any disciplinary processes for reports under § 51.252:</td>
</tr>
<tr>
<td>a. Concluded, No Finding of Policy Violation⁶</td>
</tr>
<tr>
<td>b. Concluded, with Employee Disciplinary Sanction</td>
</tr>
<tr>
<td>c. Concluded, with Student Disciplinary Sanction</td>
</tr>
<tr>
<td>d. SUBTOTAL</td>
</tr>
<tr>
<td><strong>Number of reports under § 51.252 for which the institution determined not to initiate a disciplinary process</strong>⁷</td>
</tr>
</tbody>
</table>

---

³ Reports made by students and all other non-employees (including incidents under 3.5(d)(3)) are excluded from Appendices A and B. Additionally, if a Title IX Coordinator or Deputy Coordinator determines that the type of incident described in a report, as alleged, does not constitute “sexual harassment,” “sexual assault,” “dating violence,” or “stalking” as defined in the TEC, § 51.251, the report is excluded from Appendices A and B. It is the responsibility of the Title IX Coordinator or Deputy Title IX Coordinator to assess each report received and determine whether it is properly included in this report, and if so, to correctly identify the type of incident.

⁴ “Number of confidential reports” is a sub-set of the total number of reports that were received under § 51.252, by a confidential employee or office (e.g., Counseling Center, Student Health Center, Victim Advocate for Students, or Student Ombuds).

⁵ “Disposition” means “final result under the institution’s disciplinary process” as defined in the Texas Higher Education Coordinating Board’s (THECB) rules for TEC, § 51.259 [See 19 Texas Administrative Code, § 3.6(3) (2019)]; therefore, pending disciplinary processes will not be listed until the final result is rendered.

⁶ “No Finding of a Policy Violation” in this § refers to instances where there is no finding of responsibility after a hearing or an appeal process; investigations completed with a preponderance of evidence not met are excluded, because it would not have moved forward into a disciplinary process.

⁷ The institution may have determined “not to initiate a disciplinary process.” The reasons for not initiating a discipline process can include, but are not limited to: case dismissal; insufficient information to investigate; confidential employee reporting (no identifiable information); the respondent’s identity was unknown or not reported; the respondent was not university-affiliated; the complainant requested the institution not investigate the report; informal resolution was completed; investigation is ongoing; or investigation was completed with a preponderance of evidence not met.
<table>
<thead>
<tr>
<th>Number of reports received that include allegations of an employee’s failure to report or who submits a false report to the institution under § 51.255(a)</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any disciplinary action taken, regarding failure to report or false reports to the institution under § 51.255(c):</td>
<td>0</td>
</tr>
<tr>
<td>a. Employee termination</td>
<td>0</td>
</tr>
<tr>
<td>b. Institutional intent to termination, in lieu of employee resignation</td>
<td>0</td>
</tr>
</tbody>
</table>

1 Reports made by students and all other non-employees (including incidents under 3.5(d)(3)) are excluded from Appendices A and B. Additionally, if a Title IX Coordinator or Deputy Coordinator determines that the type of incident described in a report, as alleged, does not constitute “sexual harassment,” “sexual assault,” “dating violence,” or “stalking” as defined in the TEC, § 51.251, the report is excluded from Appendices A and B. It is the responsibility of the Title IX Coordinator or Deputy Title IX Coordinator to assess each report received and determine whether it is properly included in this report, and if so, to correctly identify the type of incident.

1 “Number of confidential reports” is a sub-set of the total number of reports that were received under § 51.252, by a confidential employee or office (e.g., Counseling Center, Student Health Center, Victim Advocate for Students, or Student Ombuds).

1 “Disposition” means “final result under the institution’s disciplinary process” as defined in the Texas Higher Education Coordinating Board’s (THECB) rules for TEC, § 51.259 [See 19 Texas Administrative Code, § 3.6(3) (2019)]; therefore, pending disciplinary processes will not be listed until the final result is rendered.

1 “No Finding of a Policy Violation” in this § refers to instances where there is no finding of responsibility after a hearing or an appeal process; investigations completed with a preponderance of evidence not met are excluded, because it would not have moved forward into a disciplinary process.

1 The institution may have determined “not to initiate a disciplinary process.” The reasons for not initiating a discipline process can include, but are not limited to: case dismissal; insufficient information to investigate; confidential employee reporting (no identifiable information); the respondent’s identity was unknown or not reported; the respondent was not university-affiliated; the complainant requested the institution not investigate the report; informal resolution was completed; investigation is ongoing; or investigation was completed with a preponderance of evidence not met.
Chief Executive Officer Annual Certification to THECB

University of North Texas at Dallas
for the time period of October 1, 2020 through September 30, 2021

Under Texas Education Code (TEC), Section 51.258, the institution’s Chief Executive Officer is required to annually certify in writing to the Coordinating Board that the institution is in substantial compliance with TEC, Title 3, Subtitle A, Chapter 51, Subchapter E-2. Per THECB Rule 3.19, this certification is made annually in October of each year.

By signing this statement, I certify that University of North Texas at Dallas is in substantial compliance with TEC, Title 3, Subtitle A, Chapter 51, Subchapter E-2.

As evidence of the institution’s compliance with Subchapter E-2:
1. The annual Chief Executive Officer Report was submitted to the institution’s governing board on October 4, 2021.
2. A summary data report is posted on the institution’s website at www.untdallas.edu/title-ix.

Signature of CEO: __________________________

Printed Name: Bob Mong

Date: October 4, 2021